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AF 3627/AF PATENT Attny Dkt No.: 11032-3002



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

MERRIMAN, Dwight A. et al.

Appl'n No.: 09/094,949

Filing Date: 15 June 1998

For:

METHOD AND APPARATUS FOR

AUTOMATIC PLACEMENT OF ADVERTISING

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Group Art Unit: 3627

Examiner:

LANEAU, Ronald

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FEB 2 8 2005

GROUP 3600

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 & § 1.98

Sir:

Under the provisions of 37 C.F.R. § 1.97(b)(1), and in accordance with Applicants' duty of disclosure under 37 C.F.R. § 1.56, Applicants would like to call to the Office's attention the references listed on the attached substitute form PTO-1449 (18 sheets).

Applicants also direct the Office's attention to the following related pending applications, among which the listed references have been cited and the prior Examiner was aware: U.S. Reissue Application No. 09/577,798 entitled Method of Delivery, Targeting, and Measuring Advertising over Networks, filed May 24, 2000, reissue of U.S. Patent No. 5,948,061, U.S. Application No. 10/082,069 entitled Network for Distribution of Re-Targeted Advertising, filed February 26, 2002, U.S. Application No. 10/254,923 entitled Method of Delivery, Targeting, and Measuring Advertising over Networks, filed on September 26, 2002, U.S. Application No. 10/798,342 entitled Method of Delivery, Targeting, and Measuring Advertising over Networks, filed on March 12, 2004, U.S. Application No. 10/798,340 entitled Method of Delivery, Targeting, and Measuring Advertising over Networks, filed on March 12, 2004, U.S. Application No. 10/798,444 entitled Method of Delivery, Targeting, and Measuring Advertising over Networks, filed on March 12, 2004, U.S. Application No. 10/937,341 entitled Method of Delivery, Targeting, and Measuring Advertising over Networks, filed on September 10, 2004.

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PATENT

Appl'n No.: 09/094,949

Attny Dkt No.: 11032-3002

It is respectfully requested that the listed references be expressly considered during the

prosecution of this application, that the Examiner initial each listed reference to evidence its

consideration, and that the references be made of record therein and appear among the

"References Cited" section on any patent to issue therefrom.

Pursuant to 37 C.F.R. § 1.98(a)(2)(ii), Applicants have not provided copies of the listed

U.S. patents and U.S. patent application publications but will do so if required by the Office.

Pursuant to the 07 February 2005 decision of the Board of Appeals reversing all final

rejections of the pending claims, prosecution has been re-opened in this application. As this

statement is being filed after the period specified in 37 C.F.R. § 1.97(b), but before the mailing

of an action that closes prosecution in the application, the Office is authorized to charge the 37

C.F.R. § 1.17(p) fee of \$180.00 to Deposit Account No. 11-0600. A copy of this page is

provided for this purpose.

Although not believed necessary, the Office is hereby authorized to charge any additional

fees required under 37 C.F.R. § 1.16 or § 1.17 or credit any overpayments to Deposit Account

No. 11-0600.

The Examiner is invited to contact the undersigned at 202-220-4200 to discuss any matter

regarding this application.

Respectfully submitted,

Dated: February 23, 2005

Bradley J. Meier

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(202) 220 - 4201 (facsimile)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 18

Complete if Known				
Application Number	09/094,949			
Filing Date	15 June 1998			
First Named Inventor	MERRIMAN, Dwight A.			
Group Art Unit	3627			
Examiner Name	Laneau, Ronald			
Attorney Docket Number	11032-3002			

	,	. 	U.S. PATENT DOCUM	/IENIS			
Examiner Initials *			miner Cite	U.S. Patent Document Kind Code ²	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
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Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Sheet 2 of 18

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First Named Inventor	MERRIMAN, Dwight A.			
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Attorney Docket Number	11032-3002			

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Examiner Signature	Date Considered	
Signature	Considered	L

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Sheet

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Examiner Name	Laneau, Ronald	
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First Named Inventor	MERRIMAN, Dwight A.	
Group Art Unit	3627	
Examiner Name	Laneau, Ronald	
Attorney Docket Number	11032-3002	

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	1	For	eign Patent Docui		N PATENT DOCUM	T	Pages, Columns, Lines,	
Examiner Initials*	Cite No.1	Office ³	Number ⁴ K	ind Code ⁵ if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	T ₆
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Signature	Considered	

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	,	OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		17625888; Java and Blackbird: extending the Web; Seybold Reoport on Publishing Systems; October 23, 1995	
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2005 360		Bounty Quest Web page displaying article titled "Digital Porn Mogul Wins Silver Bullet Award; (http://www.bountyquest.com/wirmer/bschuster.htm; printed on 3/9/01)	
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	 	<u>,</u>
Examiner	Date	
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	Complete if Known	
Application Number	09/094,949	
Filing Date	15 June 1998	
First Named Inventor	MERRIMAN, Dwight A.	
Group Art Unit	3627	
Examiner Name	Laneau, Ronald	
Attorney Docket Number	11032-3002	

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Application Number	09/094,949	
Filing Date	15 June 1998	
First Named Inventor	MERRIMAN, Dwight A.	
Group Art Unit	3627	
Examiner Name	Laneau, Ronald	
Attorney Docket Number	11032-3002	

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	Examiner Name	Laneau, Ronald	
	Attorney Docket Number	11032-3002	

	OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS				
•	Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²	
:			DAVID E. ZINMAN (founder of FocaLink), Deposition Transcript from <i>DoubleClick, Inc.</i> v. <i>L90, Inc.</i> , 00 Civ. 2690, S.D.N.Y.		
			RONALD A. KOVAS (CEO of FocaLink), Deposition Transcript from DoubleClick, Inc. v. L90, Inc., 00 Civ. 2690, S.D.N.Y.		
-			LinkMarket Business Plan; David Zinman et al.; SD005545-SD005569		
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	8 2005		"INTERNET ACCESS: MAJOR COMPANIES"; Edge: Work-Group Computing Report; February 12, 1996; SD005597-SD005598		
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First Named Inventor	MERRIMAN, Dwight A.	
Group Art Unit	3627	
Examiner Name	Laneau, Ronald	
Attorney Docket Number	11032-3002	

	· · · · · · · · · · · · · · · · · · ·	
	"Web ad-management software"; John Evan Frook; InternetWeek; March 18, 1996; SD005605-SD005607	
,	"Unraveling a tangled Web;"; Ken Siegmann; PC Week, No. 15, Vol. 13, Pg. A4; April 15, 1996; SD005608-SD005610	
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	"INTERACTIVE MEDIA & MARKETING"; Advertising Age; December 11, 1995; SD005614- SD005615	
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	Web Personals stats; August 22, 1995; Z00012-Z00013 / SD027083-SD027084	
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FEB 2 8 2005	Link Marketing, LLP; Z00020-Z00021 / SD027091-SD027092	
GROUP 3600	Link Marketing; Z00022-Z00027 / SD027093-SD027098	
	LinkMarket; David Zinman et al.; Z00028-Z00030 / SD027099-SD027101	

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Sheet	12	of	18

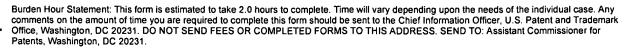
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Complete if Known		
Application Number	09/094,949	
Filing Date	15 June 1998	
First Named Inventor	MERRIMAN, Dwight A.	
Group Art Unit	3627	
Examiner Name	Laneau, Ronald	
Attorney Docket Number	11032-3002	1

•			LinkMarket; David Zinman et al.; Z00031-Z00032 / SD027102-SD027103	
			LinkMarket; David Zinman et al.; Z00033-Z00056 / SD027104-SD027127	
			FocalLink Media Services, Inc.; David Zinman et al.; August 2, 1995; Z00057-Z00070 / SD027128- SD027141	
		ı	Saturn Banner Ad Placement; August 25, 1995; Z00071 / SD027142	
•			SPONSORABLE SITE – RIDDLE DU JOUR; August 26, 1995; Z00072-Z00074 / SD027143- SD027145	
			Saturn Banner Ad Placement; August 27, 1995; Z00075 / SD027146	
			FocaLink Technology; August 30, 1995; Z00076-Z00077 / SD027147-SD027148	
			Question for you; September 7, 1995; Z00078-Z00079 / SD027149-SD027150	
			Information Request; September 12, 1995; Z00080 / SD027151	
			Update and Miscellaneous; September 22, 1995; Z00081-Z00083 / SD027152-SD027154	
			FocalLink: request for ISN ad traffic data (fwd); September 26, 1995; Z00084-Z00085 / SD027155-SD027156	
			Saturn Ad Placements; September 28, 1995; David Zinman; Z00086 / SD027157	
			RE> Saturn Ad Placements; September 29, 1995; Adam@utne.co; Z00087 / SD027158	
REC	EIVE	D	RE: Advertising Update; October 3, 1995; Eric Ver Ploeg; Z00088-Z00089 / SD027159-SD027160	
FEB	2 8 2005		RE>>Saturn Ad Placements; September 29, 1995; wigley@utne.com; Z00090 / SD027161	
GROU	JP 36	00	Re: Test Parameters (fwd); October 23, 1995; Jason Strober; Z00091-Z00092 / SD027162- SD027163	
			Re: Rest Parameters; October 23, 1995; Jason Strober; Z00093-Z00094 / SD027164-SD027165	

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SY	APPLICANT	First Named Inventor	MERRIMAN, Dwight A.
		Group Art Unit	3627
ts as	necessary)	Examiner Name	Laneau, Ronald
of	18	Attorney Docket Number	11032-3002

Proposal (fwd); October 23, 1995; Jason Strober; Z00095-Z00096 / SD027166-SD027167	
Advertising advisory board; October 25, 1995; David Zinman; Z00097-Z00099 / SD027168-SD027170	
Contact at Netcom; October 26, 1995; David Zinman; Z00100 / SD027171	<u>.</u>
CMP Technical Contact; October 30, 1995; Jason Strober; Z00104 / SD027172	
from ad age today; October 30, 1995; Karen Johnson; Z00105-Z00106 / SD027173-SD027174	
We need to get on this; October 31, 1995; David Zinman; Z00107 / SD027175	
Web site pricing draft; November 7, 1995; Jason Strober; Z00108 / SD027176	
Heads up(fwd); November 8, 1995; David Zinman; Z00109-Z00110 / SD027177-SD027178	
Proposal to Intel; November 13, 1995; Jason Strober; Z00111-Z00114 / SD027179-SD027182	
FocaLink white paper; November 13, 1995; Jason Strober; Z00115-Z00116 / SD027183-SD027184	
FocaLink Media Services; November 14, 1995; David Zinman; Z00117-Z00119 / SD027185- SD027187	
I/Traffic & Dave Carlick; November 14, 1995; David Zinman; Z00120 / SD027188	
FocaLink white paper; November 15, 1995; David Zinman; Z00121-Z00123 / SD027189-SD027191	
Re: FocaLink Meeting (fwd); Nov. 20, 1995; Karen Johnson; Z00124-Z00125 / SD027192- SD027193	
Microsoft ads through FocaLink; November 21, 1995; Jason Strober; Z00126 / SD027194	
Re: Who's in and who's out (fwd); Nov. 21, 1995; Jason Strober; Z00127-Z00128 / SD027195- SD027196	
Intel update (fwd); Nov. 23, 1995; Jason Strober; Z00129-Z00130 / SD027197-SD027198	
	Advertising advisory board; October 25, 1995; David Zinman; Z00097-Z00099 / SD027168-SD027170 Contact at Netcom; October 26, 1995; David Zinman; Z00100 / SD027171 CMP Technical Contact; October 30, 1995; Jason Strober; Z00104 / SD027172 from ad age today; October 30, 1995; Karen Johnson; Z00105-Z00106 / SD027173-SD027174 We need to get on this; October 31, 1995; David Zinman; Z00107 / SD027175 Web site pricing draft; November 7, 1995; Jason Strober; Z00108 / SD027176 Heads up(fwd); November 8, 1995; David Zinman; Z00109-Z00110 / SD027177-SD027178 Proposal to Intel; November 13, 1995; Jason Strober; Z00111-Z00114 / SD027179-SD027182 FocaLink white paper; November 13, 1995; Jason Strober; Z00115-Z00116 / SD027183-SD027184 FocaLink Media Services; November 14, 1995; David Zinman; Z00117-Z00119 / SD027185-SD027187 I/Traffic & Dave Carlick; November 14, 1995; David Zinman; Z00120 / SD027189-SD027191 Re: FocaLink Meeting (fwd); Nov. 20, 1995; Karen Johnson; Z00124-Z00125 / SD027192-SD027193 Microsoft ads through FocaLink; November 21, 1995; Jason Strober; Z00127-Z00128 / SD027195-SD027196

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Group Art Unit	3627	
Examiner Name	Laneau, Ronald	
Attorney Docket Number	11032-3002	

	Saturn reports; Dec. 5, 1995; Jennifer Ratner; Z00134-Z00140 / SD027199-SD027205
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	FocaLink for the Layman; December 6, 1995; David Zinman; Z00150 / SD027215
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	Info on FocaLink Media Services; December 11, 1995; David Zinman; Z00152-Z00154 / SD027217- SD027219
	FocaLink Media Services; December 11, 1995; David Zinman; Z00155-Z00156 / SD027220- SD027221
	Catch-up time; December 11, 1995; David Zinman; Z00157 / SD027222
	Info on FocaLink; December 13, 1995; David Zinman; Z00158-Z00160 / SD027223-SD027225
	Intel Ad on Dilbert Site; December 13, 1995; David Zinman; Z00161 / SD027226
	Re: Intel Ad on Dilbert Site; December 13, 1995; David Zinman; Z00162 / SD027227
EIVE	FocaLink Beta Test on SmartBanner; Dec. 14, 1995; David Zinman; Z00163 / SD027228
2 8 2005	I/Pro Mtg Today; December 19, 1995; David Zinman; Z00164 / SD027229
JP 36	Eric Smith Quote (fwd); January 10, 1996; David Zinman; Z00165 / SD027230
	FocaLink Communications, Inc.; January 10, 1996; David Zinman; Z00166 / SD027231

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Substitute for form 1449A/PTO Complete if Known 09/094,949 **Application Number** INFORMATION DISCLOSURE Filing Date 15 June 1998 STATEMENT BY APPLICANT MERRIMAN, Dwight A. First Named Inventor Group Art Unit (use as many sheets as necessary) Laneau, Ronald **Examiner Name** 11032-3002 Attorney Docket Number 15 Sheet

	Re: Advertisers for wyp.net; January 12, 1996; David Zinman; Z00167 / SD027232
	Quote for Press Release; January 15, 1996; David Zinman; Z00168 / SD027233
	Re: Quote for Press Release; January 16, 1996; "thomas mark"; Z00169 / SD027234
	Re: Quote for Press Release (fwd); January 16, 1996; David Zinman; Z00170-Z00171 / SD027235- SD027236
	RE> **Ad Placement Notific; January 19, 1996; Dan_Stoller@anlsf.com; Z00172-Z00173 / SD027237-SD027238
	Invitation; January 25, 1996; wilkins@eit.com; Z00174 / SD027239
	Focalink; January 25, 1996; David Zinman; Z00175 / SD027240
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2 8 2005	Focalink Communications; February 6, 1996; David Zinman; Z00190-Z00192 / SD027255- SD027257
JP 3600	Focalink Communications; February 6, 1996; David Zinman; Z00193-Z00195 / SD027258- SD027260
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Examiner	 Date	
Signature	Considered	

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Sheet 16 of 18

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	Complete if Known	
Application Number	09/094,949	
Filing Date	15 June 1998	
First Named Inventor	MERRIMAN, Dwight A.	
Group Art Unit	3627	
Examiner Name	Laneau, Ronald	
Attorney Docket Number	11032-3002	

	[Fwd: Re: DoubleClick and IAN]; February 6, 1996; Jason Strober; Z00199 / SD027264	
	Focalink Communications; February 6, 1996; David Zinman; Z00200-Z00202 / SD027265- SD027267	
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09/094,949

11032-3002

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Application Number

Attorney Docket Number

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of

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Filing Date	15 June 1998
First Named Inventor	MERRIMAN, Dwight A.
Group Art Unit	3627
Examiner Name	Laneau, Ronald

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Modified PTO/SB/08A (08-00)

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Group Art Unit	3627		
Examiner Name	Laneau, Ronald		
Attorney Docket Number	11032-3002		

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